

## Article

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## Trace metal content of coal exacerbates air pollution-related health risks: the case of lignite coal in Kosovo

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### Abstract

More than 6,600 coal-fired power plants serve an estimated five billion people globally and contribute 46% of annual CO<sub>2</sub> emissions. Gases and particulate matter from coal combustion are harmful to humans and often contain toxic trace metals. The decades-old Kosovo power stations, Europe's largest point source of air pollution, generate 98% of Kosovo's electricity and are due for replacement. Kosovo will rely on investment from external donors to replace these plants. Here, we examine non-CO<sub>2</sub> emissions and health impacts by using inductively-coupled plasma mass spectrometry (ICP-MS) to analyze trace metal content in lignite coal from Obilic, Kosovo. We find significant trace metal content normalized per kWh of final electricity delivered (As (22.3 +/- 1.7), Cr (44.1 +/- 3.5), Hg (0.08 +/- 0.010), and Ni (19.7 +/- 1.7) mg/kWh<sub>e</sub>). These metals pose health hazards that persist even with improved grid efficiency. We explore the air pollution-related risk associated with several alternative energy development pathways. Our analysis estimates that Kosovo could avoid 2,300 premature deaths by 2030 with investments in energy efficiency and solar PV backed up by natural gas. Energy policy decisions should account for all associated health risks, as should multi-lateral development banks before guaranteeing loans on new electricity projects.

## 1. Introduction

There is increasing global debate on the sustainability of coal as a source of electricity.<sup>1,2,3</sup> In Europe and the United States, low-cost renewable energy options such as solar and wind, along with the natural gas revolution, have led to a rapid closure of coal plants. In other regions, however, coal is experiencing a renaissance,<sup>4</sup> with increasing proposals for new plants across South and Southeast Asia. In South East Europe, coal use remains contentious because of (1) the role played by multi-lateral development bank finance, (2) rising concerns over air quality, and (3) planning for potential future European Union integration.<sup>5,6</sup> The use of locally abundant lignite coal in subcritical coal plants without substantial pollution control technologies, violates the EU Industrial Emissions Directive and could jeopardize admission to the EU.<sup>7</sup> Coal is becoming increasingly difficult to justify on an economic basis.

Coal has been the dominant energy source around the world since the industrial revolution and is responsible for a significant proportion of greenhouse gas emissions and air pollution-related deaths worldwide. In total, coal currently contributes 46% of annual global CO<sub>2</sub> emissions.<sup>4,8</sup> Associated fine particulate matter (PM) emissions and toxic air contaminants contribute significantly to the burden of disease from air pollution.<sup>9</sup> However, the majority of health-effects studies focus on the magnitude of PM emissions and have not applied source-specific information to risk calculations.<sup>10,11,12</sup> Even in countries where emissions-accounting is relatively transparent, trace metal emissions remain unaccounted for in PM indices, despite their established presence in geologic coal analysis.<sup>13</sup> Investment decision frameworks rarely consider emerging research that implicates hazardous air pollution and PM emissions in the global burden of disease.

Kosovo, a country on the verge of implementing a suite of new supply- and demand-side electricity investments, currently relies on lignite coal for more than 98% of its electricity generation. Although lignite has the lowest quality and calorific value of all coal types, its local abundance explains its continued use. The World Bank has proposed financing a new lignite coal-based power plant to replace the scheduled decommissioning of the 1962 era lignite-based “Kosovo A” facility and to address the security of Kosovo’s electricity supply. The plan would continue to use lignite coal as a fuel source and improve efficiency with newly available technology. This is proposed as a means to improve electricity reliability and air quality, as power plant efficiency gains could marginally reduce air pollution.

While all coal produces hazardous emissions when combusted, impurities in lignite coal present significantly greater threats to human health and the environment compared to other coals.<sup>11</sup> However, little information is publically available regarding the trace metal content of Kosovo’s lignite supply or its associated public health impacts. Research into the composition of lignite coal, both globally and specifically in Kosovo, could inform more comprehensive evaluations of the environmental and health impacts of fossil-fuel based electricity generation.<sup>14</sup> It could also identify opportunities to reduce illness and premature deaths by switching to alternative sources of electricity.

92 Due to the widespread use of coal for electricity production, scientists still need more  
93 geographically specific information on trace metal content. Here, we investigate the chemical  
94 composition of lignite coal from Obilic, Kosovo (the main lignite coal mine located 12 km  
95 outside of the capital city, Pristina, and the primary coal source in Kosovo). Using inductively-  
96 coupled plasma mass spectrometry (ICP-MS), we characterize the identity and hazardous trace  
97 metal content. We propose a new metric of *trace metal content per final unit of electricity*  
98 *delivered*. Aerosolized arsenic, nickel, and other trace metals in particulate matter are typically  
99 difficult to quantify, especially in regions that lack significant air monitoring and sensing  
100 equipment. These heavy metals are also present in fly ash. Our metric enables scientists and  
101 investors to understand the geographic differences in coal content, which may alter the emissions  
102 profile projected for new energy projects.

103 Coal studies have typically analyzed the chemical composition of higher density  
104 bituminous and anthracite coals, demonstrating the presence of hazardous metals. Arsenic,  
105 cadmium, chromium, mercury, nickel, selenium, and lead have been detected in bituminous coal  
106 samples from the United States and Brazil.<sup>15,16</sup> By contrast, few studies investigate the chemical  
107 composition or emissions from lignite coal. Despite lignite coal's relatively low energy density,  
108 local availability leads many countries to depend on lignite, including those in South East  
109 Europe. Countries in Southeast Asia, including Vietnam and Indonesia, plan to increase  
110 combustion of lignite coal for electricity generation.<sup>12,17,18</sup> Continued investment in lignite by  
111 multinational finance organizations influences global patterns of energy production and  
112 consumption, yet they so far fail to consider geographic differences in the chemical composition  
113 of coal, or account for its public health impact. Global estimates suggest coal combustion is  
114 responsible for 2-5% of total anthropogenic arsenic emissions.<sup>19</sup> In the US, coal-fired power  
115 plants contribute approximately 62% of arsenic, 50% of mercury, 28% of nickel, and 22% of  
116 chromium emissions.<sup>20</sup> These toxic heavy metals harm the environment and human health.

117  
118 Although previous studies have identified externalized costs of burning coal for  
119 electricity generation, there is relatively little data on the impact on human health of trace metals  
120 released through combustion.<sup>11,12,21,22</sup>

121 We investigate the trace metal content (arsenic, mercury, chromium, and nickel) in  
122 Kosovo lignite coal. We present this information alongside estimates of annual PM emissions.  
123 Since trace metal content is not currently accounted for in estimates of premature death  
124 attributable to air pollution, these estimates likely under-count the actual health toll of coal  
125 combustion. Therefore, our analysis could inform further research.

## 126 127 **Human health impacts of trace metals**

128  
129 Power plants remain one of the largest sources of toxic air emissions, including  
130 metals<sup>11,23,24</sup>. People can be exposed to trace metals in particulate matter through inhalation,  
131 ingestion, and dermal contact. Recent studies highlight the disproportionate impacts of toxic air  
132 pollution on low-income children, linking cumulative exposures to toxic air pollutants with  
133 adverse effects on the developing fetus including preterm births, low birth weight, cognitive and  
134 behavioral disorders, asthma, and respiratory illness.<sup>25</sup> For example, once arsenic enters the  
135 environment, it cannot be destroyed, so any effects will persist until the arsenic becomes  
136 chemically isolated from the biosphere. Arsenic is a known human carcinogen –irrespective of

137 exposure route—and is particularly linked to lung cancer<sup>26</sup>. Arsenic can also cause several skin  
138 disorders and can reduce immune function by decreasing cytokine production.<sup>27</sup> Toxic heavy  
139 metals have long residence times and tend to bioaccumulate in the human body. For example, it  
140 may take a few days for a single, low dose of arsenic to be excreted, and mercury has an  
141 estimated half-life in the human body of around 44 days.<sup>28,29</sup> Continuous or daily exposure in the  
142 context of relatively slow elimination translates into steadily increasing tissue concentrations of  
143 these toxic metals.

144  
145 In adults, chronic mercury exposure can produce tremors, cognitive dysfunction, and  
146 other nervous system dysfunction. However, the most harmful effects of mercury exposure occur  
147 in the developing fetus. Even at low concentrations, prenatal mercury exposure can decrease IQ  
148 and cause long-term cognitive impairment, depending on timing and extent of exposure.<sup>30</sup>  
149 Prolonged inhalation of mercury vapor in adults can lead to pneumonia, corrosive bronchitis, and  
150 tremors. Increasingly, governments around the world have incorporated mercury emissions into  
151 standards for reducing emissions of toxic air contaminants, as power plants serve as the  
152 dominant source of mercury in air pollution. Despite this trend, and despite proven pollution  
153 control technologies to limit mercury emissions, relatively few governing bodies set standards or  
154 limit mercury from power plants.<sup>31</sup>

155  
156 Coal combustion is one of the major anthropogenic sources of chromium air pollution.<sup>23</sup>  
157 Chromium (VI) is the most hazardous valence state; hexavalent chromium is a known  
158 carcinogen and causes both developmental and reproductive toxicity. Some occupational studies  
159 attribute decreased sperm count and quality to chromium (VI) in exposed workers.<sup>32</sup>  
160 Furthermore, chromium can have synergistic effects with other organic carcinogens, and mixed  
161 exposures can increase the risk of certain cancers.

162  
163 One of the most common forms of allergic dermatitis is nickel dermatitis caused by  
164 exposure to nickel-containing compounds. Additionally, inhalation of high levels of nickel  
165 increase the risk of lung and nasal cancer.<sup>33</sup>

166  
167 Table 1 summarizes environmental and human health impacts from trace metals found in  
168 lignite coal samples. It also describes solubility in water and boiling point for arsenic (III or V),  
169 chromium (0, II, III, and VI), mercury (II), and nickel (II). Solubility and boiling point are  
170 important to determine whether the metals will undergo phase changes during power plant  
171 combustion. The boiling point of arsenic trioxide is approximately 465° C, which is within the  
172 range of a standard boiler in a coal plant, leading to volatilization of arsenic, which could  
173 aerosolize within particulate matter.

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181

182 Table 1. Trace metals present in lignite coals and their associated environmental and health  
 183 impacts.  
 184

<b>Heavy Metal (CAS #)<sup>32</sup></b>	Arsenic (7440-38-2)	Chromium Metal, Chromium (II), Chromium (III), Chromium (VI) (7440-47-3)	Mercury (7439-97-6)	Nickel (7440-02-0)
<b>Environmental Impact</b>	-Contaminates groundwater -Disrupts plant growth and development -Decreases crop yields	-Increases uric acid concentration in birds' blood -Alters animal growth	-Impairs nervous system and other organ systems in animals	-Causes genetic alterations in fish and possible death -Toxic to developing organisms
<b>Human Health Impact</b>	-Impairs immune system, increasing susceptibility to lung cancer	-Causes reproductive and developmental harm -Increases risk of certain cancers	-Causes cognitive impairment in children - Overstimulates central nervous system	-Increases risk of lung cancer -Causes nickel dermatitis
<b>Boiling Point (°C)</b>	465	2482	357	2730
<b>Solubility in Water (g/L)</b>	20 (arsenic trioxide) at 20 °C	1680 (chromium trioxide) at 25 °C	74 (Mercury II chloride) at 25 °C	553 (nickel chloride) at 20 °C

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## 2. Methods

### *Analysis of trace metal content per final unit electricity delivered*

191 We obtained 50 g samples of Pliocene lignite coal found in the Kosovo basin located at  
 192 the main coal mine in Obilic, Kosovo (within a 5-km radius of 42.689° N, 21.069° E, SI Figure  
 193 S1). Trace metals analysis by inductively coupled plasma mass spectrometry (ICP-MS) was  
 194 conducted by Curtis & Tompkins Laboratory (Berkeley, CA) according to EPA standard  
 195 procedures appropriate for each metal. Sample preparation was performed by EPA method  
 196 3052, and then EPA method 6020 was used for the detection of for aluminum, arsenic,

197 beryllium, cadmium, chromium, copper, lead, nickel selenium, silver, thallium, and zinc and  
198 EPA method 7471A for mercury (see Supporting Information).<sup>34,35,36</sup>

199  
200 Using the measured trace metal content in lignite samples, we estimate the trace metal  
201 emissions by creating an “emissions factor”. The emissions factor is defined as the mass of trace  
202 metals (in mg) emitted from coal combustion per kWh of final electricity delivered (see SI  
203 Equation 1). To do this, we developed an open-source spreadsheet model to evaluate the trace  
204 metal content per kWh of final electricity delivered at different transmission, distribution, power  
205 plant efficiencies, and heat rates. We input ICP-MS results of trace metal content and known  
206 calorific values (kJ/kg) of different coal types into the model. The model parameters include  
207 generation, transmission, and distribution system efficiency of electricity ( $n_t$ ,  $n_d$ ), calorific value  
208 of coal (kJ/kg), and efficiency and heat rate of the coal-fired power plant. We use literature-cited  
209 data for international global average trace metal content and literature values for Chinese  
210 coals<sup>37,38,39</sup>. The model calculates a unit conversion from measured trace metal content (mg/kg)  
211 into trace metals per unit electricity (mg/kWh<sub>e</sub>) based on plant characteristics such as heat rate  
212 and efficiency. This metric enables fair comparisons of the potential impacts of trace metal  
213 emissions across different countries’ coal generation, transmission, and distribution systems by  
214 accounting for the relative energy densities of different coal types and the efficiencies of  
215 different plants and electric transmission and distribution systems.

216  
217 We also use the spreadsheet model to compare the trace metal content in Kosovo coal  
218 with reported mean trace metal content (and standard deviation values) from global datasets.  
219 Although it is not a spatially explicit chemical fate and transport model, it provides a reasonable  
220 range estimate of the release of trace metals at the smokestack, while taking into consideration  
221 the local generation, transmission, and distribution system conditions that may increase  
222 emissions intensity.

223  
224 During coal combustion, trace metals are distributed among flue gas, bottom ash, and fly  
225 ash. We use trace metal mass balances and estimate that 1-10% of As, Cr, and Ni will appear in  
226 flue gas, based on estimates in the literature. Mercury is evaluated separately since it is more  
227 volatile, with 80% of mercury appearing in flue gas.<sup>40,41</sup> The general model for estimating trace  
228 metal content per final unit of electricity delivered and trace metal partitioning is detailed in the  
229 Supporting Information.

230  
231 We report mean, standard deviation, and lower-upper bound ranges for mg of trace  
232 metals per kWh of final electricity delivered. After estimating the emissions factor for each  
233 individual trace metal, we can also estimate system-wide emissions from electricity generation:

$$234 \quad 235 \quad E = \sum_k \sum_m A_{i,k} * EF_{i,k,m} \quad (1)$$

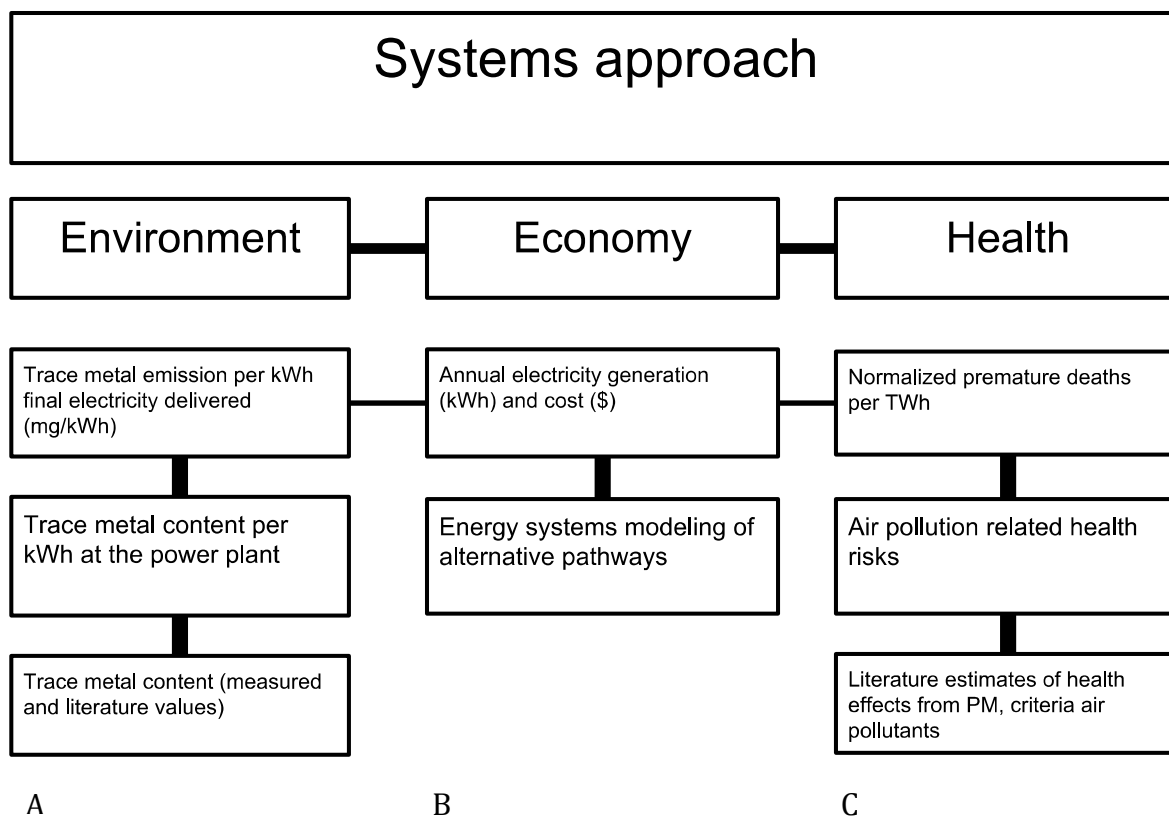
236  
237 where  $E$  = emissions,  $k$  = the fuel type,  $m$  = the emissions control devices, and  $i$  = the  
238 power plant

239  
240 This framework investigates the potential to reduce environmental health impacts by  
241 improving power plant and grid efficiency.

242

243 *Estimation of air pollution-related health risk*

244  
 245 In addition to estimating trace metal content per final kWh of electricity delivered (the  
 246 emissions factor), in a separate analysis we use an energy systems model that evaluates the cost  
 247 of possible future electricity scenarios to estimate air pollution-related health risk attributable to  
 248 the air pollutants associated with each scenario. This provides context for systems scale risk  
 249 analysis. We can also use the energy systems model to estimate systems-level trace metal content  
 250 that could be released into the environment in each scenario of future energy sources. Figure 1  
 251 shows the overall approach and how these analyses are conducted independently and used to  
 252 support each other.



253

254

255

256 Figure 1. Overall approach of the three parallel analyses that evaluate environmental (A),  
 257 economic (B), and health (C) impacts of lignite coal in Kosovo.

258

259 To analyze the air pollution-related health risk from a variety of future electricity  
 260 portfolios, we use four representative annual electricity generation scenarios developed by a  
 261 stakeholder analysis in consultation with civil society and lending partners. Following the model  
 262 established in Kittner et al. (2016), we compare the associated environmental and public health  
 263 risks (from air pollution and trace metals) for each scenario.<sup>17</sup> We investigate a corresponding  
 264 business-as-usual case, evaluating the net costs of: (1) constructing a new lignite plant, (2) using  
 265 energy efficiency measures to meet Euro2030 targets (3) transitioning to low-cost solar without  
 266 natural gas backup, and (4) using solar augmented by natural gas for system flexibility. For a  
 267 full detailed evaluation of the spreadsheet model, the associated paper describes the model and



268 assumptions used for analyzing Kosovo's power sector.<sup>17</sup> For scenarios that include natural gas,  
 269 solar, and wind, we use the same values for health and environmental impacts of these  
 270 technologies as reported in the literature for continental Europe.<sup>42</sup>

271  
 272 The annual electricity generation portfolio values (kWh) are then applied to an  
 273 occupational and air pollution-related risk methodology called ExternE: Externalities of  
 274 Energy.<sup>42,43</sup> The ExternE model predicts health impacts attributable to air pollution and  
 275 occupational risks for each energy technology scenario expressed per kWh. The ExternE model  
 276 accounts for reduction in life expectancy and cancers, e.g., premature death. The premature death  
 277 endpoint estimates excess mortality attributable to exposure to PM<sub>2.5</sub>, sulfur dioxides, nitrogen  
 278 oxides, and ozone.

### 279 280 3. Results

281  
 282 We present the results in two parts. First, we report the trace metal content analysis  
 283 represented in Figure 1A, and we report the results from Kosovo alongside trace metal content of  
 284 lignite coal in China and globally (based on IEA data) to put the numbers into perspective.  
 285 Second, we use energy systems modeling represented by Figure 1B as inputs to show premature  
 286 deaths represented by Figure 1C. Finally, we discuss the results.

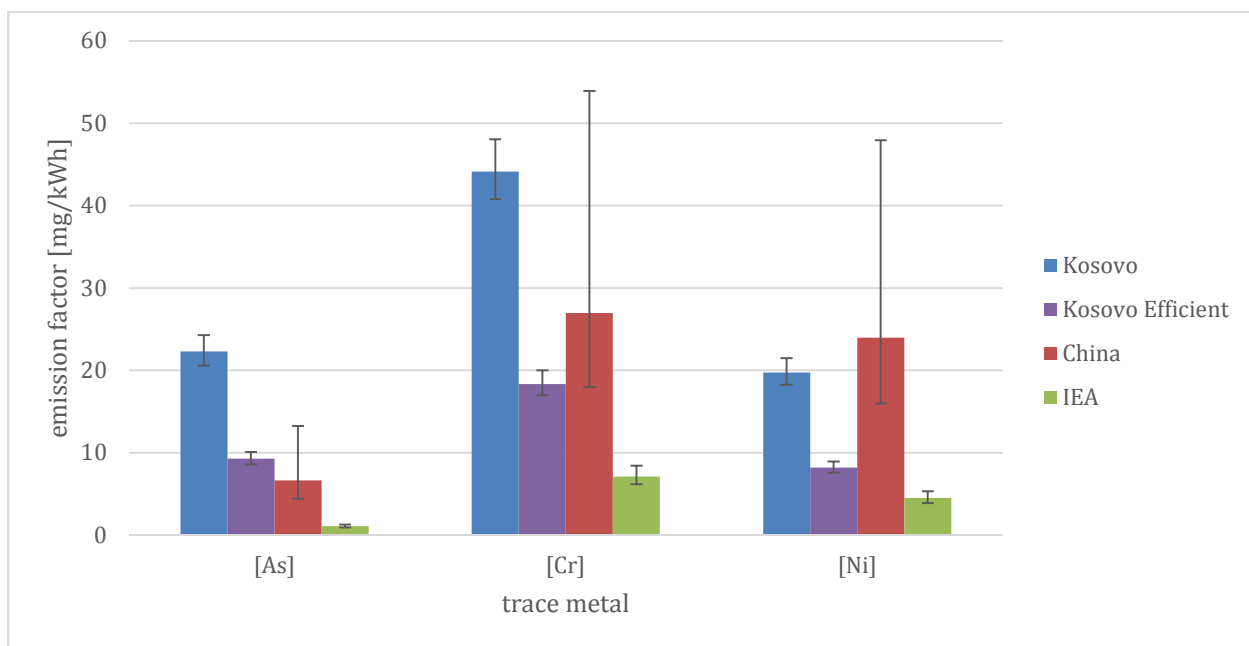
287  
 288 Table 2 contains the results of ICP-MS trace metal analysis for lignite coal in Kosovo compared  
 289 to (1) average trace metal content in a cross section of lignite coal globally (IEA), and (2) trace  
 290 metal content reported in the literature for lignite coal in the US and China.<sup>37,38,39</sup>

291  
 292  
 293 Table 2. ICP-MS Heavy Metal Content in Kosovo Lignite Compared to Lignite from Other  
 294 Regions  
 295 All values are in mg metal/kg coal.  
 296

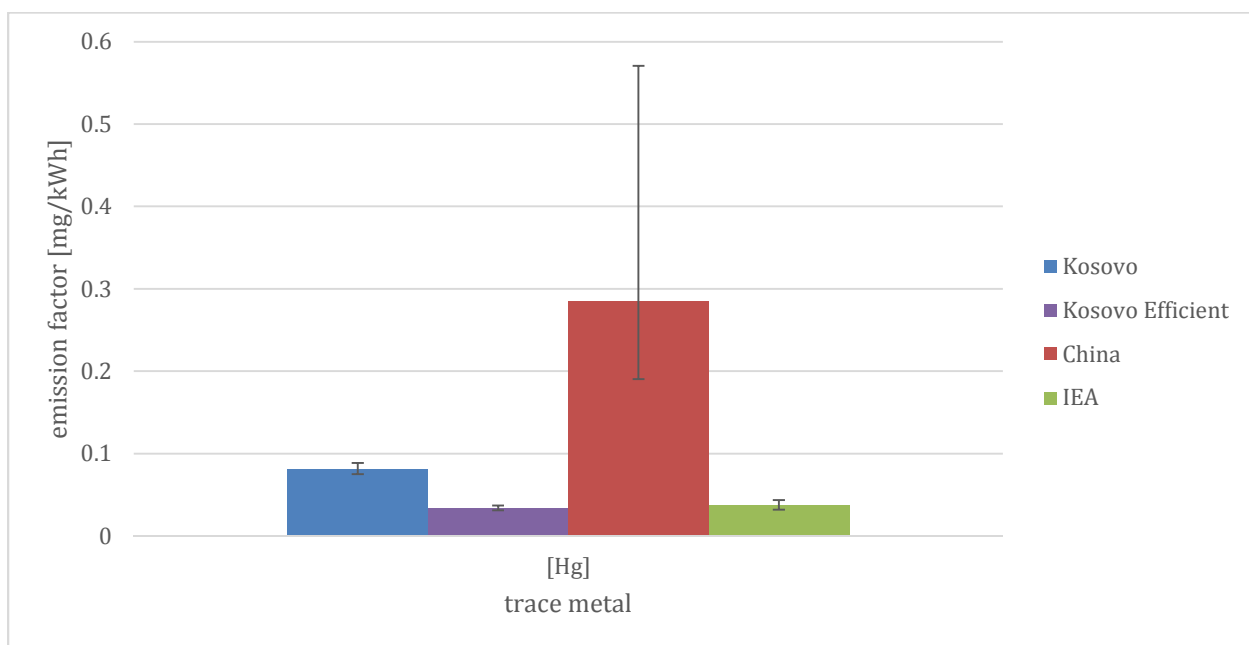
Heavy Metal	Content in Kosovo Lignite Coal	International Energy Agency Global Average	Content in coals from China <sup>38,39</sup>		Content in coals from USA <sup>37</sup>		Content in coals around the world <sup>37</sup>
			Bai et al. (2007)	Dai et al. (2012)	Arithmetic mean	Geometric mean	
Arsenic	9.6 ± 1.6	2.69	4.09	3.79	24	6.5	8.3
Chromium	19 ± 1.7	17.6	16.94	15.4	15	10	16
Mercury	0.035 ± 0.020	0.091	0.154	0.163	0.17	0.10	0.10
Nickel	8.5 ± 1.7	11.1	14.44	13.7	14	9	13

297  
 298 Figure 2 reports the trace metal content per final unit of electricity delivered in kWh  
 299 (reported in mg/kWh). This only includes metal content in the flue gas. We simulate the existing  
 300 Kosovar grid with 30% transmission and distribution losses (represented by "Kosovo") and  
 301 compare to an improvement to only 10% losses, which represents an upper bound for typical  
 302 transmission and distribution efficiency ("Kosovo Efficient" in Figure 2). Additionally, we  
 303 estimate the normalized trace metal content per unit electricity delivered in China and globally  
 304 (IEA estimate) for lignite coals with transmission and distribution efficiency of 10% to account

305 for line losses, de-rating, and congestion.<sup>44</sup> These are reasonable upper bound estimates based on  
 306 EIA transmission and distribution losses data.<sup>45,46</sup> We find that even if Kosovo significantly  
 307 improves transmission and distribution systems, the poor quality of the lignite coal means that  
 308 trace metals emissions will still be significantly higher than they would be for a coal source on  
 309 par with the IEA average metal content in global lignite.  
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Figure 2. Trace metal emissions for [As], [Cr], [Ni], [Hg], expressed per kWh final electricity delivered by country. Variation in China is likely due to significant diversity in reported mercury content in lignite that spans multiple geologic basins.<sup>39</sup>

319 We find high arsenic and chromium content compared to IEA average values for lignite  
 320 in the ICP-MS analysis. The mercury (0.08 mg/kWh [Hg]) and nickel (19.7 mg/kWh [Ni])  
 321 content, while lower than the Chinese average values for lignite (0.28 mg/kWh [Hg] and 24  
 322 mg/kWh [Ni]), may pose public health concerns to the nearby Kosovo community. This raises  
 323 concerns for fly ash management and also aerosolization of trace metals with particulate matter  
 324 emissions.

### 325 326 *Accounting for health*

327  
 328 Table 3 highlights the deaths from air pollution-related risk calculated for different  
 329 energy technologies following the ExternE method detailed by Markandya and Wilkinson.<sup>42</sup> The  
 330 model assumes a population density of 160 people/km<sup>2</sup>, based on Kosovo. The model  
 331 characterizes pollutants of different electricity technologies based on inputs of annual electricity  
 332 generation (total kWh), and it only considers health impacts for coal and natural gas (based on  
 333 emission of PM<sub>10</sub>, PM<sub>2.5</sub>, SO<sub>x</sub>, NO<sub>x</sub>, O<sub>3</sub>). It does not include source-specific trace metals in the  
 334 PM burden, similar to the current version of USEtox.<sup>9,47</sup> One limitation in the ExternE model is  
 335 the assumption of a linear relationship between PM<sub>2.5</sub> exposure and premature death. Research in  
 336 the past decade suggests that at low background concentrations of PM<sub>2.5</sub>, the concentration-  
 337 response relationship is supralinear.<sup>48</sup> However, in this case a linear relationship is the best  
 338 estimate given that (1) background PM levels are high enough to appear in the linear portion of  
 339 the concentration-response curve, (2) there is limited empirical data available to use more  
 340 sophisticated models, and (3) our knowledge of local geography that concentrates pollution in a  
 341 valley in Kosovo. An alternative approach could use TRACI, a model developed by the EPA.<sup>49-51</sup>  
 342 However, TRACI is not explicitly set up for power plants as was ExternE and it is generic (using  
 343 non-speciated metals) for metal species. TRACI is also intended for the US. In this instance,  
 344 relying on TRACI would compound the uncertainties of this model. Future updates to USEtox  
 345 and TRACI would allow for research on the specific health impact of trace metal species in the  
 346 PM burden, but the current versions have not yet accounted for speciated composition of trace  
 347 metals in the PM burden.<sup>47</sup> SI Table S5 details existing annual air pollutant emissions.

348  
 349 Table 3. Air pollution-attributable morbidity and mortality in four energy scenarios evaluated in  
 350 Kosovo's power sector projected for 2016-2030.

	<b><u>Air pollution-related risk</u></b>	-	-
	<b>Deaths</b>	<b>Serious illness</b>	<b>Minor illness</b>
<b>Business-as-usual</b>	<b>3,200</b> (800-12,700)	<b>29,000</b> (7300-88,000)	<b>1,700,000</b> (430,000-6,900,000)
<b>Euro2030</b>	<b>2,000</b> (510-8100)	<b>18,500</b> (4,600-75,000)	<b>1,100,000</b> (280,000-4,400,000)
<b>Solar without natural gas</b>	<b>1,300</b> (320-5,200)	<b>12,000</b> (2,900-47,000)	<b>700,000</b> (180,000-2,800,000)

<b>Solar with natural gas</b>	<b>900</b> (230-3,600)	<b>8,400</b> (2,100-33,700)	<b>460,000</b> (120,000-1,800,000)
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351  
 352 The death rates are expressed as mean estimates with 95% confidence intervals. While  
 353 the model includes acute and chronic health effects, chronic health effects account for between  
 354 88-99% of the total impact. Serious illnesses (acute and chronic) includes cerebrovascular  
 355 events, congestive heart failure, and chronic bronchitis. Minor illnesses include restricted activity  
 356 days, bronchodilator use, persistent cough, and lower-respiratory symptom days for those with  
 357 asthma. We adapt the model to the Kosovo case using scenarios from Kittner et al. (2016) and  
 358 we aggregate excess risk of deaths over the projected period from 2016-2030.<sup>17</sup> Full annual  
 359 electricity generation mix until 2030 of the scenarios analyzed is detailed in the Supporting  
 360 Information (SI Figures S2-5). Additionally, the Euro2030, solar without natural gas, and solar  
 361 with natural gas to each cost less than the business-as-usual scenario by €200-400 million euros  
 362 before considering health and environmental externalities. The population of Kosovo is only 1.8  
 363 million and this model shows 1.7 million cases of minor illnesses in the business-as-usual case.  
 364 Business-as-usual coal includes the use of the best available pollution control technologies.

#### 365 366 367 **4. Discussion**

368  
 369 The scenarios depicted demonstrate that there is a range of future cost-competitive paths  
 370 for the electricity sector in Kosovo. Kittner et al. (2016) finds the alternative scenarios to coal-  
 371 based power generation to cost less on a direct levelized cost basis before considering  
 372 externalities. This study takes the next step to identify and estimate some of the public health  
 373 risks that better characterize the overall cost of each scenario accounting for all externalities.  
 374 Interestingly, natural gas, which produces less PM pollution, may provide public health benefits  
 375 compared with lignite coal, although it could have the consequence of delaying substantial  
 376 reductions in CH<sub>4</sub> or CO<sub>2</sub> emissions. In the other scenarios, low-cost solar and energy efficiency  
 377 alone would mitigate air pollution related-risk, though not to the same extent as the scenario that  
 378 combines these two interventions with natural gas. The scenarios without natural gas rely on  
 379 continued operation of the Kosovo B coal-fired power plant for base-load power generation.  
 380 Emerging low-cost energy storage technologies or increased regional power trade could change  
 381 this result in ways that are not detailed in this analysis.<sup>51</sup> They could also reduce the use of coal  
 382 in the energy efficiency and renewable scenarios that do not employ natural gas. One clear  
 383 outcome remains: sustained use of lignite coal poses serious air pollution-related risk and an  
 384 introduction of natural gas and/or renewables to provide flexibility in Kosovo's grid could meet  
 385 future electricity needs while providing a cleaner and safer alternative to lignite coal. It is  
 386 possible to incorporate health risk in addition to cost when comparing electricity development  
 387 pathways.

388  
 389 At full operating capacity, the Kosovo A and B facilities consume 30,000 tons of lignite  
 390 coal per day. In 2005, the CO<sub>2</sub> emissions were estimated at 5.7 million tones. SO<sub>x</sub> emissions  
 391 exceeded European Commission standards by 333 ug/m<sup>3</sup> and PM emissions exceeded by an  
 392 order of magnitude (SI Table S5).<sup>52</sup> These results suggest that coal contributes significantly to air  
 393 pollution. Air pollution also contributes to premature mortality, and a significant portion of the

394 air pollution in Kosovo is attributable to lignite coal. A replacement of coal infrastructure with  
395 natural gas could reduce thousands of air pollution-related illnesses and deaths in the coming  
396 decade. The renewable scenarios may also dramatically reduce CO<sub>2</sub> emissions. The lack of low-  
397 NO<sub>x</sub> boilers or other pollution control technologies on Kosovo's power plants means that our  
398 model likely underestimates the impact of air pollutants which form when power plant emissions  
399 undergo chemical oxidation. The scenario where solar is introduced without gas demonstrates  
400 that potential public health benefits of solar power and energy efficiency will be attenuated if  
401 coal remains a significant source of base-load power generation. Emerging energy storage  
402 technologies could change this result. We project that a full-scale transition away from coal or  
403 natural gas would reduce air pollution-related risk by the largest increment, however Kosovo B  
404 lignite power station is expected to remain in operation through 2030.

405  
406 There are significant short and medium-term public health benefits to switching from  
407 coal to gas. However, natural gas may raise implementation challenges due to a lack of domestic  
408 supply.<sup>17</sup> The flexibility afforded by the addition of natural gas to power system operations could  
409 also provide load balancing for intermittent solar and wind in the case that planned regional  
410 interconnection projects are delayed or are subject to political turmoil. It may seem  
411 counterintuitive to propose natural gas as a stopgap solution, given the lack of defined climate  
412 benefits, however the cost of continued lignite coal combustion that we estimate in the form of  
413 predicted air pollution-related deaths in Kosovo merits this transition.

414  
415 Particulate matter, specifically PM<sub>10</sub> and PM<sub>2.5</sub>, accounts for about 3% of  
416 cardiopulmonary and 5% of lung cancer deaths worldwide, and the burden of disease related to  
417 similar ambient air pollution may be even higher.<sup>53</sup> Heavy metals, like the ones studied in this  
418 paper, could contribute not only individually but also synergistically to the toxicity of particulate  
419 matter released from the coal combustion process, although local monitoring of metal content  
420 and emissions could help verify our modeled estimates. We suspect ours are underestimates  
421 because our tests of Kosovo lignite reveal higher trace metals content than the coals on which  
422 most models are based except for mercury. Arsenic in fly ash is a source of groundwater  
423 contamination.<sup>54</sup> Nickel, chromium, and mercury can increase the risk of developing certain  
424 cancers, especially for vulnerable populations like children and those who already have asthma  
425 or chronic obstructive pulmonary disease.

426  
427 In the short term, a few remedy measures could potentially reduce air pollution-related  
428 risk due to trace metal presence in lignite coal. These include installation of flue gas  
429 desulphurization units, electrostatic precipitators and fabric filter for PM less than ten microns.  
430 Additionally, low-NO<sub>x</sub> boilers or selective catalytic reduction (SCR units) could reduce NO<sub>x</sub>  
431 emissions. However, the largest health impact would come from shutting down Kosovo A and  
432 transitioning to a more sustainable power sector that does not include combustion of lignite coal.  
433 The cost and availability of low-pollution alternatives including solar photovoltaics, wind,  
434 biomass, and small-scale hydropower could meet electricity generation needs while dramatically  
435 reducing impacts on public health and the environment.<sup>55</sup>

436  
437 The trace metals found in pre-combusted lignite coal in Kosovo are only one aspect of  
438 the overall public health threat. Coal-fired power plants release a variety of pollutants—  
439 particulate matter, sulfur dioxide, nitrogen oxides, heavy metals and radionuclides—that in this

440 case likely contribute to thousands of premature deaths in Kosovo over the next decade. Simply  
441 increasing efficiency of current energy production and distribution systems is not enough to  
442 protect public health because the same coal is still being burned—burning a higher grade coal  
443 could reduce chemical emissions slightly, but is unlikely to significantly reduce the public health  
444 impact of particulate matter emissions. For this reason, stakeholders should prioritize sustainable  
445 energy scenarios that reduce dependence on coal. This does not detract from the value of  
446 improving energy efficiency on the demand side, or by improving energy transmission and  
447 distribution, but it highlights that substantial upgrades in the existing infrastructure should have  
448 the goal of reducing health impacts of the electricity supply source. Our research illustrates that  
449 the chemical composition of pre-combusted coal is a critical factor to consider when modeling  
450 human and environmental health impacts of electricity generation.

451  
452 We recommend that multi-lateral development banks incorporate public health risk  
453 analysis into their finance decision-making frameworks to reflect emerging research on the  
454 global burden of disease caused by energy production—particularly coal-fired power plants.  
455 Most international financial institutions are not required to carry out a public health risk analysis  
456 prior to investment. We find that, for example, introducing natural gas for system flexibility  
457 could also reduce premature deaths attributable to particulate matter exposure as well as potential  
458 health risks from exposure to the toxic metals present in emissions from lignite coal combustion.  
459 Finally, we advocate for a reappraisal of financing options for a coal-fired power plant in  
460 Kosovo, as renewable electricity options are not only less expensive, but could also improve the  
461 poor local air quality and reduce air pollution-related premature deaths.<sup>17</sup>

462  
463 A better monitoring framework for PM emissions from lignite coals could improve  
464 environmental and public health outcomes because the current risk assessment framework does  
465 not account for the actual composition of particulate matter. Determining the trace metal content  
466 at the same time as PM<sub>2.5</sub> and PM<sub>10</sub> concentrations are assessed would more accurately reflect  
467 current research on the environmental and human health impacts of toxic metals in air pollution.  
468 Since the toxicity of common trace metals is relatively well characterized, understanding the  
469 relationship between the composition of particulate matter and the health hazards posed by toxic  
470 air contaminants is a critical topic for future research.<sup>56</sup>

471  
472 Further research into the composition of lignite coal used for energy production and its  
473 unintended impacts on human health could help countries or regional entities conduct integrated  
474 resource plans for future energy infrastructure that account for population health. Information on  
475 the impact of trace metals in coal could improve decision-making by energy planners, and the  
476 international institutions that finance large infrastructure projects. Additionally, such information  
477 could help address the challenges of coal-based electricity generation projects identified by  
478 justice-based and legal frameworks, such as the need for due process, sustainability, and intra-  
479 and inter-generational equity, especially given the historical legacy of Kosovo C.<sup>57</sup>

480  
481 The arsenic and chromium content we measured in samples from the Kosovar Pliocene  
482 basin exceed global IEA averages for lignite. There is cause for concern that these metals, as  
483 well as other toxic metals like the mercury and nickel also found in the lignite coal samples, are  
484 not currently accounted for in PM emission risk assessments and could negatively impact public  
485 health by increasing the surrounding community's risk for neurodevelopmental impacts,

486 respiratory illness, cancers, cardiovascular disease, neurological impairment and premature  
487 death. Our modeling indicates that the continued use of lignite coal is detrimental to public  
488 health. Even if solar costs in Kosovo reach US SunShot levels (US\$1/W) or aggressive energy  
489 efficiency measures are adopted, coal must be phased out to address the known public health  
490 impacts of air pollution. Substituting natural gas for lignite coal electricity could improve public  
491 health, however, it may not reduce carbon emissions in a similar manner. Before financing a new  
492 coal-fired power plant in Kosovo that burns lignite coal, international financial institutions  
493 should account for air pollution-related public health risk and additional burdens.

494

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496

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504

505 **Supporting Information:** Trace metal ICP-MS analysis, models, and further documentation of  
506 results are presented in the Supporting Information.

507

508

509

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# Systems approach

